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Selective serotonergic excitation of callosal projection neurons

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Serotonin (5-HT) acting as a neurotransmitter in the cerebral cortex is critical for cognitive function, yet how 5-HT regulates information processing in cortical circuits is not well understood. We tested the serotonergic responsiveness of layer 5 pyramidal neurons (L5PNs) in the mouse medial prefrontal cortex (mPFC), and found three distinct response types: long-lasting 5-HT_{1A} (1A) receptor-dependent inhibitory responses (84% of L5PNs), 5-HT_{2A} (2A) receptor-dependent excitatory responses (9%), and biphasic responses in which 2A-dependent excitation followed brief inhibition (5%). Relative to 5-HT-inhibited neurons, those excited by 5-HT had physiological properties characteristic of callosal/commissural (COM) neurons that project to the contralateral cortex. We tested whether serotonergic responses in cortical pyramidal neurons are correlated with their axonal projection pattern using retrograde fluorescent labeling of COM and corticopontine-projecting (CPn) neurons. 5-HT generated excitatory or biphasic responses in all 5-HT-responsive layer 5 COM neurons. Conversely, CPn neurons were universally inhibited by 5-HT. Serotonergic excitation of COM neurons was blocked by the 2A antagonist MDL 11939, while serotonergic inhibition of CPn neurons was blocked by the 1A antagonist WAY 100635, confirming a role for these two receptor subtypes in regulating pyramidal neuron activity. Selective serotonergic excitation of COM neurons was not layer-specific, as COM neurons in layer 2/3 were also selectively excited by 5-HT relative to their non-labeled pyramidal neuron neighbors. Because neocortical 2A receptors are implicated in the etiology and pathophysiology of schizophrenia, we propose that COM neurons may represent a novel cellular target for intervention in psychiatric disease.

Keywords: neocortex, cerebral cortex, serotonin, 5-HT_{1A} receptor, 5-HT_{2A} receptor, pyramidal neuron, corpus callosum, retrograde labeling

INTRODUCTION

Serotonin (5-hydroxytryptamine, or 5-HT) is a cortical neurotransmitter implicated in schizophrenia (Geyer and Vollenweider, 2008; Meltzer et al., 2011) and other mental health disorders (Filip and Bader, 2009). Postsynaptic serotonergic signaling in cortical pyramidal neurons relies primarily on two G-protein coupled receptors, 5-HT_{1A} (1A) and 5-HT_{2A} (2A), that have opposing influences on neuronal excitability. While most pyramidal neurons are inhibited by 5-HT via 1A receptor activation (Araneda and Andrade, 1991; Beique et al., 2004, 2007; Zhang and Arsenault, 2005; Goodfellow et al., 2009; Zhong and Yan, 2011), a minority is functionally excited via 2A receptors (Araneda and Andrade, 1991; Beique et al., 2007). Cortical 2A receptors, which are enriched in the prefrontal cortex (Pazos et al., 1985; Weber and Andrade, 2010), are of intense clinical interest because they mediate the psychotropic effects of serotonergic hallucinogens (Willins and Meltzer, 1997), are implicated in the development and etiology of schizophrenia (Benekareddy et al., 2010), and are the preferred targets for atypical antipsychotics (Gonzalez-Maesó and Sealfon, 2009). However, despite their pharmacological significance, little is known about the functional role of 2A receptors in regulating the activity of cortical microcircuits.

Layer 5 pyramidal neurons (L5PNs), which provide the bulk of cortical output, have been classified into distinct subclasses based on their morphology, physiology, axonal projections, and genetic expression patterns (Arlotta et al., 2005; Molnar and Cheung, 2006; Morishima and Kawaguchi, 2006; Sugino et al., 2006; Molyneaux et al., 2007; Chen et al., 2008; Leone et al., 2008; Brown and Hestrin, 2009; Morishima et al., 2011; Oberlaender et al., 2011). Each L5PN subclass represents a unique component of the local cortical microcircuit, displaying highly selective synaptic connectivity that generates directional information flow through segregated and parallel cortical output channels (Morishima and Kawaguchi, 2006; Brown and Hestrin, 2009; Morishima et al., 2011; Otsuka and Kawaguchi, 2011). It has been hypothesized that serotonergic 2A-dependent excitation may be restricted to one or more L5PN subtypes (Spain, 1994; Weber and Andrade, 2010), thereby allowing 5-HT to selectively enhance cortical output to specific target structures. To test this hypothesis, we used retrograde labeling to identify subtypes of L5PNs in the mouse prefrontal cortex based on their axonal projection patterns (Morishima and Kawaguchi, 2006; Dembrow et al., 2010; Morishima et al., 2011). We report that 5-HT, via 2A receptor activation, selectively generates excitatory and biphasic responses in pyramidal neurons projecting to the contralateral cortex, while

brainstem-projecting pyramidal neurons are inhibited by 5-HT through 1A receptors. The identification of a specific pyramidal neuron subpopulation selectively excited by 2A receptors enhances our conceptual framework regarding serotonergic regulation of cortical microcircuits, and suggests callosal-projection neurons may represent a novel cellular target for the treatment of schizophrenia and other psychiatric diseases.

METHODS

ANIMALS

Wild-type C57Bl/6J (3-weeks–8-months-old) and Thy-1-YFP line H (3–7-weeks-old; Jackson Laboratory; see Feng et al., 2000)

mice were used in experiments according to methods approved by the Institutional Animal Care and Use Committee of Dartmouth College.

RETROGRADE LABELING

Subpopulations of pyramidal neurons were labeled based on their axonal projections using stereotaxic injections of fluorescent beads (Retrobeads from Lumafluor, Inc.; 700 nL of undiluted solution per injection) into the contralateral medial prefrontal cortex (mPFC) or the pons (see **Figure 3**) using age-appropriate coordinates (Paxinos and Franklin, 2004). Animals were anesthetized for surgery with an IP injection of 2,2,2-tribromoethanol

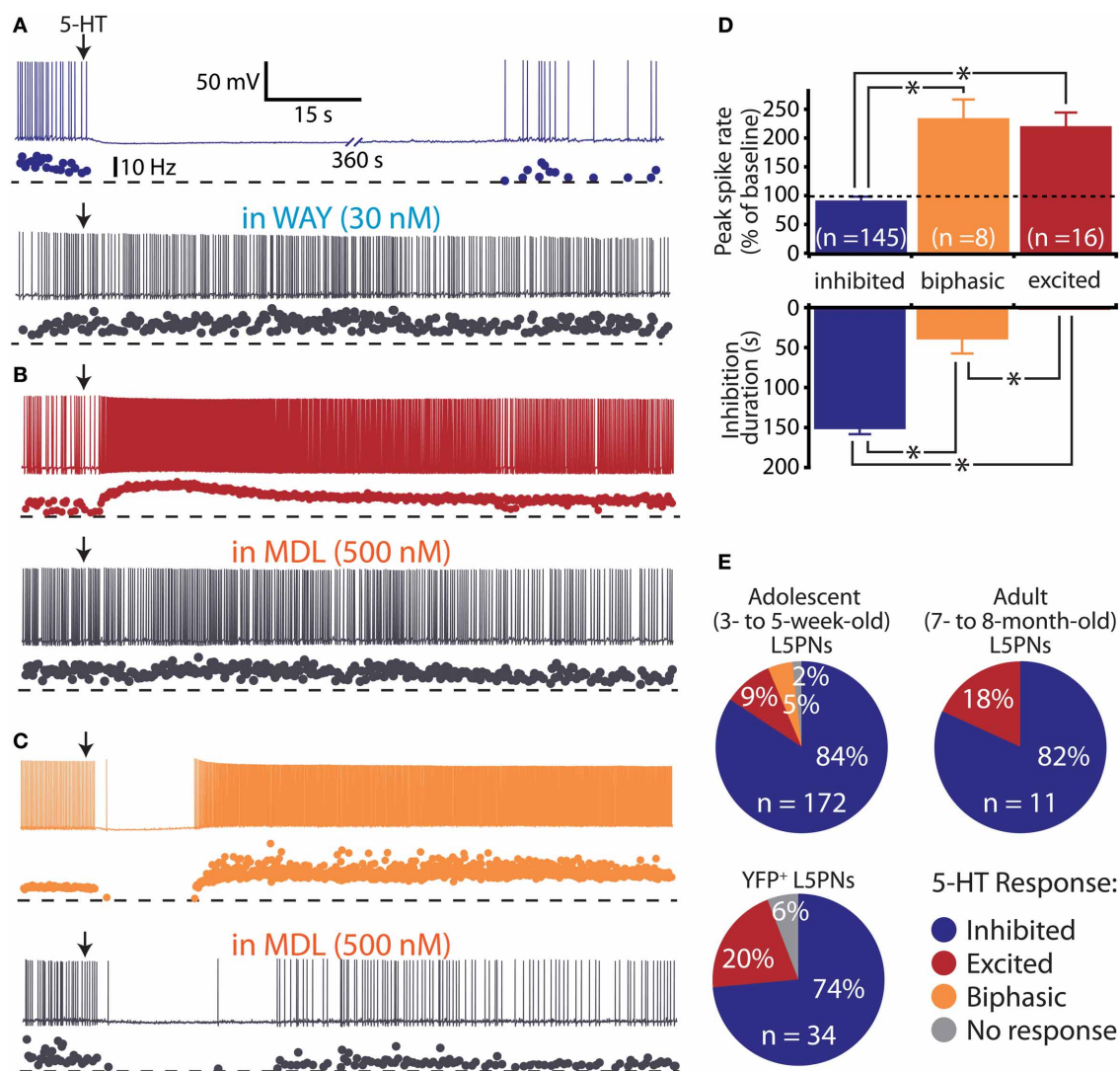


FIGURE 1 | Diversity of serotonin responses in prefrontal layer 5 pyramidal neurons (L5PNs). (A–C) Responses to focal serotonin application (100 μ M, 10 s, beginning at arrow) in L5PNs during periods of current-driven action potential generation. Three response types were observed: inhibition, blocked by the 1A antagonist WAY 100635 (A), excitation, blocked by the 2A antagonist MDL 11939 (B), and biphasic responses consisting of short-duration inhibition followed by excitation,

also sensitive to the 2A antagonist (C). (D) Comparisons of the duration of inhibition (bottom) and peak changes in spike rate (top) according to serotonergic response type. (E) Proportions of L5PNs exhibiting different serotonin responses in neurons from the mPFCs of adolescent mice, fully adult mice, and adolescent Thy1-YFP mice in which a subpopulation of L5PNs are selectively labeled with yellow fluorescent protein (YFP).

(20 mg/Kg). Surgeries lasted approximately 45 min, after which animals were allowed to recover for 72 h before use in electrophysiological experiments. Locations of dye injections were confirmed in coronal sections of the mPFC or brainstem.

SLICE PREPARATION

Animals were anesthetized with isoflurane and decapitated. Brains were quickly removed into ice-cold artificial cerebral spinal fluid (ACSF) containing, in mM, 125 NaCl, 25 NaHCO₃, 3 KCl, and 1.25 NaH₂PO₄, 0.5 CaCl₂, 6 MgCl₂, 25 glucose, and saturated with 95% O₂/5% CO₂. Coronal brain slices (200 μ m thick) containing the mPFC were cut using a Leica VT 1200 slicer and transferred to a storage chamber filled with ACSF in which CaCl₂ was increased to 2 mM and MgCl₂ was decreased to 1 mM. Slices were maintained at 35°C for approximately 1 h, then stored at room temperature for up to 8 h prior to use in experiments.

ELECTROPHYSIOLOGY

Slices were transferred to a recording chamber below an Olympus BX51WI microscope and continuously perfused with oxygenated ACSF at 35°C. Whole-cell current-clamp recordings of L5PNs were made with patch pipettes (\sim 5 M Ω) filled with, in mM, 135 K-gluconate, 2 NaCl, 2 MgCl₂, 10 HEPES, 3 Na₂ATP, and 0.3 NaGTP (pH 7.2 with KOH). In some experiments, biocytin (7.5 mg/mL) was added to the pipette solution to allow for *post-hoc* visualization and morphological analysis of the recorded neuron (see below). Data were acquired with AxographX software (AxographX Company) using a BVC-700 amplifier (Dagan Corporation) and an ITC-18 digitizer (HEKA Instruments). Membrane potentials were filtered at 5 kHz, sampled at 25 kHz,

and corrected for the junction potential of 12 mV. Input resistance (R_N) was determined from the slope of the linear portion of the steady-state voltage-current relationship established with a series of somatic current injections (generally -50 to $+50$ pA). The magnitude of “sag” rectification (indicative of HCN channels in pyramidal neuron dendrites; see Dombrow et al., 2010) was quantified using a hyperpolarizing current injection sufficient to generate a 20 mV peak hyperpolarization relative to the resting membrane potential. Sag was defined as the relative “rebound” (percent) from peak membrane potential hyperpolarization, as measured at steady-state. Serotonergic inhibition was quantified as the duration of cessation of action potential generation following 5-HT application (see below). 5-HT-induced excitation was quantified as the peak increase in action potential rate, relative to baseline, measured over a 5 s period occurring around the timing of peak spike frequency following 5-HT application.

DRUGS AND DRUG APPLICATION

Patch-pipettes were filled with 5-HT (100 μ M) dissolved in ACSF and connected to a Toohey Spritzer (Toohey Company). Pipettes were positioned near the soma (within 50 μ m) of targeted pyramidal neurons, and 5-HT was briefly applied (1 or 10 s) at low-pressure (\sim 4 PSI). 10 s applications were used in initial experiments in unlabeled tissue, while 1 s applications were used in tissue labeled with retrograde tracers (to reduce the prolonged duration of serotonergic inhibitory responses; compare **Figure 1D** with **Figure 5A**). Antagonists for 1A (WAY 100635; Sigma) and 2A (MDL 11939; Tocris) receptors were bath applied for 5 min prior to measurements of 5-HT

Table 1 | Physiology and serotonergic responsiveness of L5PN subpopulations.

Neuron subtype	<i>n</i>	V_M (mV)	R_N (M Ω)	% sag
L5PNs IN UNLABELED TISSUE (3–5-WEEKS-OLD MICE)				
Inhibited	145	-77 ± 0	75 ± 2	16 ± 0
Excited	16	-77 ± 1	$100 \pm 7^*$	$10 \pm 1^*$
Biphasic	8	-77 ± 2	77 ± 10	11 ± 3
No response	3	-75 ± 5	85 ± 4	21 ± 6
YFP-POSITIVE L5PNs FROM 3- TO 7-WEEK-OLD THY1-YFP LINE H MICE				
Inhibited	25	-78 ± 1	74 ± 8	$19 \pm 1^*$
Excited	7	-78 ± 2	$164 \pm 30^*$	$11 \pm 2^*$
No response	2	-80 ± 3	$179 \pm 45^*$	12 ± 4
NON-LABELED L5PNs FROM OLDER MICE (29- TO 35-WEEKS-OLD)				
Inhibited	9	-76 ± 1	62 ± 2	16 ± 1
Excited	2	-73 ± 8	$146 \pm 36^*$	9 ± 1
COM-LABELED L5PNs (7–12-WEEK OLD MICE)				
Excited	15	$-80 \pm 1^*$	$159 \pm 9^{*\dagger}$	$8 \pm 1^*$
Biphasic	9	$-84 \pm 2^{*\dagger}$	$127 \pm 9^{*\dagger}$	$4 \pm 2^{*\dagger}$
No response	2	-79 ± 0	$115 \pm 13^{*\dagger}$	9 ± 4
CPN-LABELED L5PNs (6–9-WEEK OLD MICE)				
Inhibited	17	-76 ± 1	78 ± 4	16 ± 1

Physiological properties measured include membrane potential (V_M), input resistance (R_N), and “sag” rectification during responses to hyperpolarizing current injections (% sag). Data reported as average \pm SEM. Significant differences relative to L5PNs from unlabeled tissue (**highlighted**) were quantified using Student's *t*-tests ($\alpha = 0.05$) and labeled in **bold** with asterisks. Daggers indicate significant differences in physiological properties of labeled COM neurons having excitatory or biphasic responses to 5-HT relative to unlabeled L5PNs having the same type of responses.

responsiveness. When used, antagonists for ionotropic glutamate (kynurenic acid, 4 mM; Sigma) and GABA (SR-95531, 10 μ M; Sigma) receptors were bath applied for at least 5 min before experiments.

HISTOLOGY, IMAGING, AND MORPHOLOGICAL ANALYSIS

Slices containing biocytin-filled neurons were fixed in phosphate-buffered solution (PBS) containing 4% paraformaldehyde and 0.2% picric acid. After 24 h, slices were washed several times in PBS and incubated for up to 12 h in PBS containing 0.25% Triton X-100 and avidin conjugated to Alexa Fluor® 488 (20 μ g/ml; Invitrogen). Slices were then dried, imbedded in FluorSave (EMD Chemicals), and imaged on a 2-photon microscope (Prairie Technologies). To compare neuron morphologies, we quantified somatic distance from the pia, maximum horizontal width of the apical tuft, the number of dendritic branch points in the tuft, and the number of primary oblique dendrites. Tracings of neurons were made using NeuronJ (freely available at <http://www.imagescience.org/meijering/software/neuronj/>) from z-stack projections (1 μ m sections).

STATISTICAL ANALYSIS

Data are presented as mean \pm SEM. Physiological measurements of membrane potential (V_M), R_N , and sag rectification in 5-HT-inhibited, -excited, and -biphasic neurons were compared using One-Way ANOVAs (with Tukey-Kramer post-tests) for each parameter. One-Way ANOVAs and post-tests were also used to compare the physiology of callosal projection (5-HT-excited and -biphasic) and pontine-projecting L5PNs. Other comparisons used a 2-tailed Student's *t*-test, paired or un-paired, as appropriate. Significance was defined as $p < 0.05$.

RESULTS

SEROTONERGIC RESPONSES IN PREFRONTAL LAYER

5 PYRAMIDAL NEURONS

In initial experiments, we focally applied 5-HT (100 μ M for 10 s) to L5PNs during periods of current-induced action potential generation in acute slices of unlabeled mPFC from 3–5-week-old mice (**Figure 1** and **Table 1**). 5-HT inhibited action potential generation in 84% (145 of 172) of unlabeled L5PNs (**Figures 1A,D,E**), but increased action potential frequency in another 14% of L5PNs (24 of 172). Only a few L5PNs (3 of 172 neurons; 2%) were not responsive to 5-HT. Serotonergic excitation occurred either alone (9%; 15 of 172; **Figures 1B,D,E**), or as part of biphasic responses consisting of short-duration inhibition followed by longer-lasting excitation (5%; 9 of 172; **Figures 1C,D,E**). In agreement with previous studies (Puig et al., 2005; Benekareddy et al., 2010), we found serotonergic inhibition and excitation were blocked by antagonists specific for 1A receptors (WAY 100635, 30 nM; $n = 16$; **Figure 1A**) or 2A receptors (MDL 11939, 500 nM; $n = 4$; **Figures 1B,C**), respectively.

In L5PNs, a well characterized developmental increase in 1A receptor expression induces a phenotypic “switch” from serotonergic excitation to serotonergic inhibition during the third postnatal week (Zhang, 2003; Beique et al., 2004). Although we used neurons from animals over 3 weeks of age, we confirmed our

results did not reflect delayed maturation of L5PNs by conducting additional experiments using neurons from 7- to 8-month-old mice ($n = 11$) and from 3- to 7-week-old Thy-1 YFP line H mice ($n = 34$), in which a subpopulation of L5PNs is labeled with YFP. We found 5-HT to excite similar proportions of L5PNs (18% and 20%, respectively) in both groups (**Figure 1E** and **Table 1**).

Prefrontal L5PNs are heterogeneous in their physiological properties (Dembrow et al., 2010). To determine whether

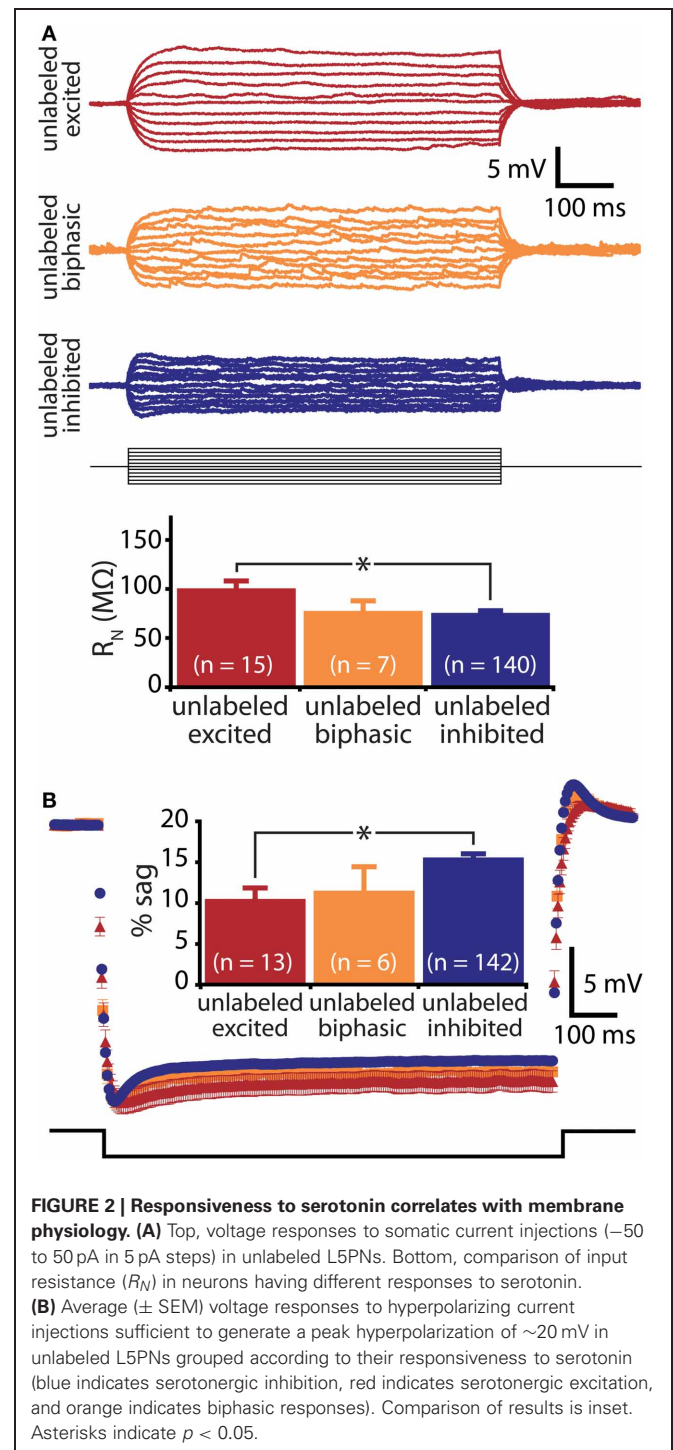


FIGURE 2 | Responsiveness to serotonin correlates with membrane physiology. (A) Top, voltage responses to somatic current injections (–50 to 50 pA in 5 pA steps) in unlabeled L5PNs. Bottom, comparison of input resistance (R_N) in neurons having different responses to serotonin. **(B)** Average (\pm SEM) voltage responses to hyperpolarizing current injections sufficient to generate a peak hyperpolarization of ~ 20 mV in unlabeled L5PNs grouped according to their responsiveness to serotonin (blue indicates serotonergic inhibition, red indicates serotonergic excitation, and orange indicates biphasic responses). Comparison of results is inset. Asterisks indicate $p < 0.05$.

serotonergic responsiveness correlates with physiology, we compared resting V_M , R_N , and the amount of “sag” rectification occurring during hyperpolarizing current injections in 5-HT-excited and 5-HT-inhibited L5PNs (**Figure 2** and **Table 1**). We found 5-HT-excited L5PNs had higher R_N ($100 \pm 7 \text{ M}\Omega$) and less sag ($10 \pm 1\%$ sag) than did L5PNs inhibited by 5-HT (R_N , and sag for inhibited L5PNs were $75 \pm 2 \text{ M}\Omega$ and $16 \pm 0\%$, respectively, $p < 0.05$ for each when compared to values from 5-HT-excited neurons). These results suggest 5-HT may selectively excite a physiologically distinct subpopulation of L5PNs.

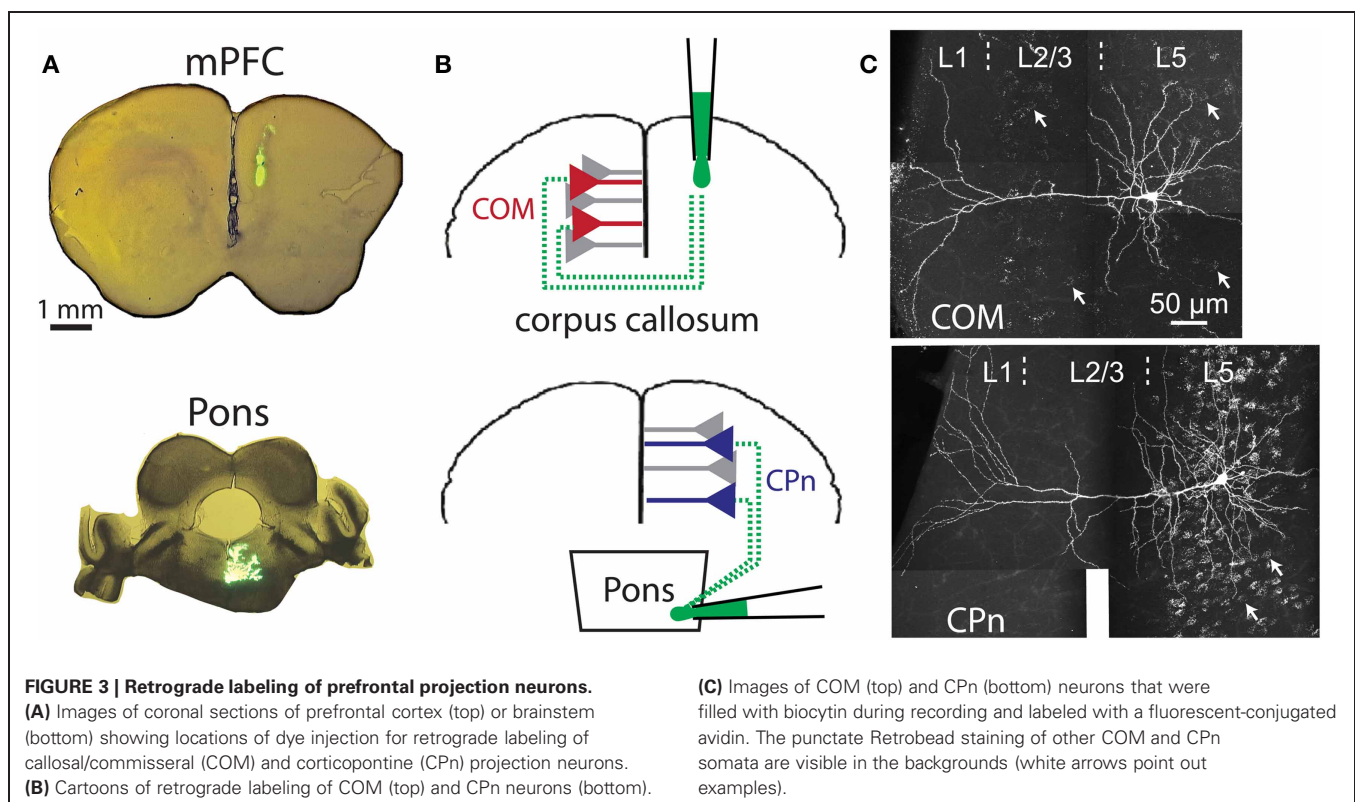
SELECTIVE SEROTONERGIC EXCITATION OF CALLOSAL/COMMISSURAL PROJECTION NEURONS

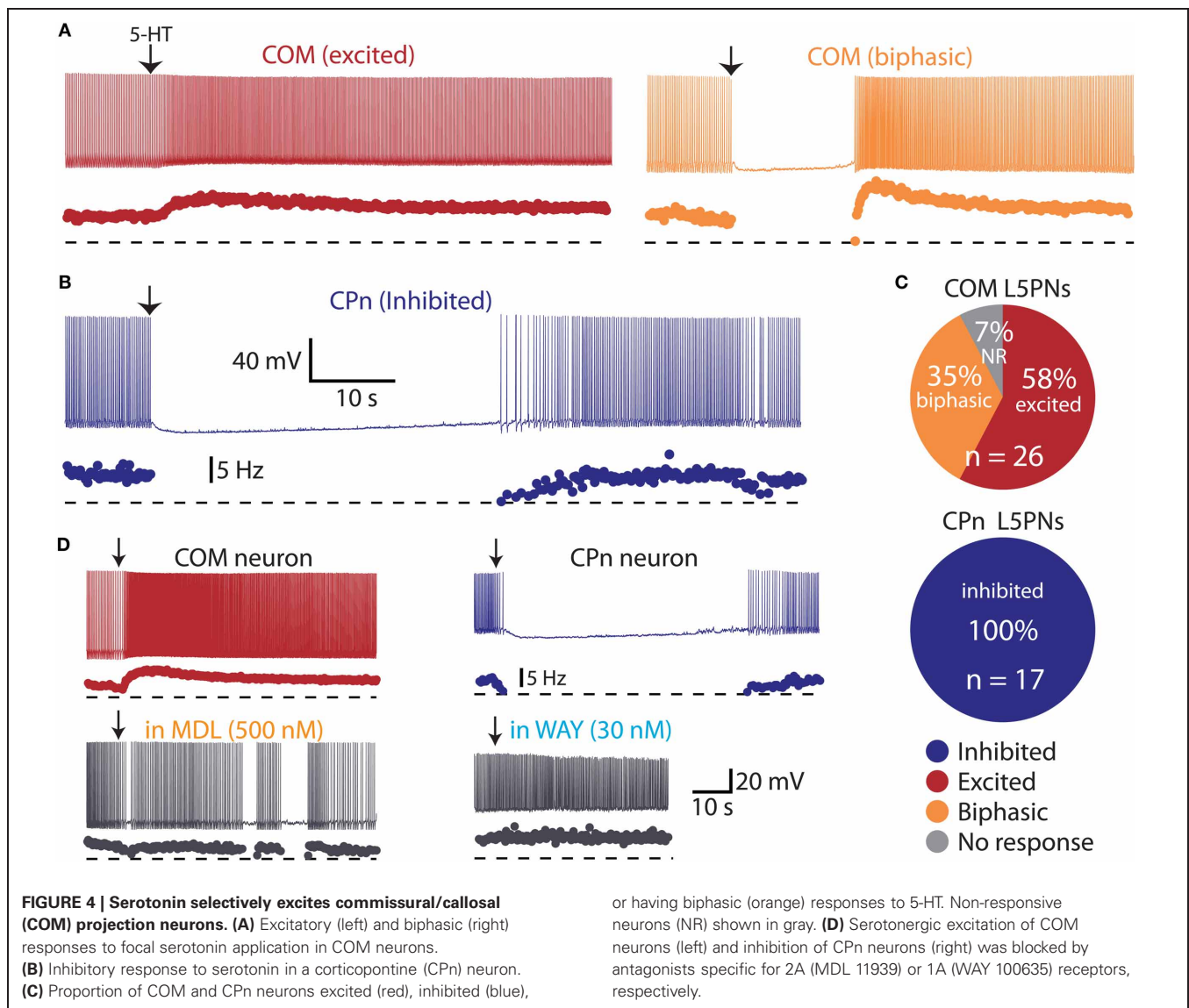
COM projection L5PNs connecting homologous cortical areas in the two cerebral hemispheres have relatively high R_N and modest sag rectification, physiological properties similar to those found in 5-HT-excited L5PNs (Dembrow et al., 2010). To test whether COM neurons include those excited by 5-HT, we injected fluorescent Retrobeads unilaterally into the left mPFC and later recorded serotonergic responses in labeled COM neurons in slices of the contralateral cortex (**Figure 3A**). Focal 5-HT application ($100 \mu\text{M}$ for 1 s) generated excitatory ($n = 15$) or biphasic ($n = 9$) responses in all 5-HT-responsive COM neurons (93%; 24 of 26 tested neurons; **Figures 4A,C**). Purely inhibitory responses were never observed in COM neurons. Serotonergic excitation of COM neurons was blocked by MDL 11939 ($n = 5$; **Figure 4D**), confirming a functional role for 2A receptors in regulating COM neuron excitability.

We next applied 5-HT to a second, non-overlapping L5PN population projecting to the pons (CPn, see Dembrow et al., 2010) (**Figure 3B**). In contrast to COM neurons, all CPn neurons tested ($n = 17$) were inhibited by 5-HT (**Figures 4B,C**), and this inhibition was blocked by the 1A antagonist WAY 100635 ($n = 4$; **Figure 4D**). To confirm that selective serotonergic regulation of COM and CPn L5PNs does not result from indirect modulation of excitatory drive onto L5PNs, in additional experiments we measured serotonergic responses in the presence of blockers of fast synaptic transmission (4 mM kynurenic acid, to block ionotropic glutamate receptors, and $10 \mu\text{M}$ SR-95531, to block GABA_A receptors; see Gullledge and Stuart, 2005). With fast synaptic transmission blocked, 5-HT selectively excited all COM neurons tested ($n = 12$), generating pure excitations ($n = 7$) or biphasic excitations ($n = 5$) indistinguishable from those generated in COM neurons in the absence of synaptic blockers (**Figure 5**). Similarly, in the presence of synaptic blockers, 5-HT inhibited all CPn neurons tested ($n = 3$) for durations comparable to those generated in the absence of blockers (**Figure 5**). These data demonstrate that selective regulation of COM and CPn neuron excitability by 5-HT does not depend on changes in fast synaptic transmission.

PHYSIOLOGY AND MORPHOLOGY OF COM AND CPn NEURONS

In the rat mPFC, COM and CPn L5PNs have distinct physiological and morphological properties (Dembrow et al., 2010). To determine whether this is also the case in the mouse mPFC, we measured physiological parameters in COM and CPn neurons,

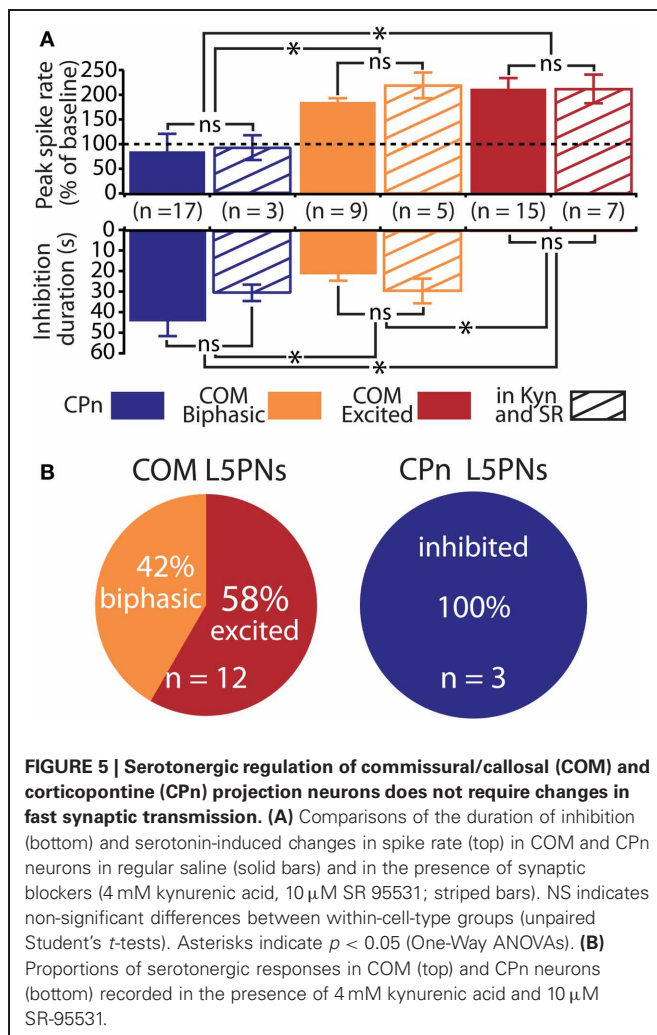




and filled a subset of neurons with biocytin during recording for *post-hoc* analysis of morphologies (Figure 6). COM and CPn neurons were physiologically distinct, with COM neurons ($n = 26$) having more hyperpolarized resting membrane potentials (-81 ± 1 mV), higher R_N (144 ± 7 M Ω), and less sag rectification ($6 \pm 1\%$) than CPn neurons (mean V_M , R_N , and sag for CPn neurons were -76 ± 1 mV, 78 ± 4 M Ω , and $16 \pm 1\%$, respectively; $p < 0.05$ for each; Figures 6A,B, and Table 1). COM neurons were also morphologically distinct from CPn neurons, having more superficial somata (somatic depths were 332 ± 18 μ m vs. 405 ± 14 μ m), more horizontally compact apical tufts (maximal tuft widths were 211 ± 30 μ m vs. 302 ± 34 μ m), and fewer basal (6.7 ± 0.7 vs. 9.2 ± 1.0), oblique (4.6 ± 0.7 vs. 13.3 ± 1.2), and tuft (4.0 ± 0.7 vs. 7.2 ± 0.7) dendritic branches, than CPn neurons ($n = 10$ for each group; $p < 0.05$ for each group, paired Student's *t*-tests; Figures 6C–H). Together, these data demonstrate selective and opposing serotonergic regulation of distinct L5PN subtypes in the mouse prefrontal cortex.

SELECTIVE SEROTONERGIC EXCITATION OF COM NEURONS IN LAYER 2/3

In the mPFC, COM neuron somata are found in both layer 5 and layer 2/3 (Morishima and Kawaguchi, 2006). We focally applied 5-HT to COM-labeled and neighboring non-labeled layer 2/3 pyramidal neurons (L2/3PNs) to test whether selective serotonergic excitation of COM neurons is conserved across cortical lamina (Figure 7). Indeed, out of 21 COM-labeled L2/3PNs, 18 showed excitatory or biphasic responses. No COM L2/3PNs had purely inhibitory responses to 5-HT (3 COM L2/3PNs were not responsive to 5-HT). On the other hand, two-thirds of non-labeled L2/3PNs ($n = 12$ of 18) were inhibited by 5-HT, while another third ($n = 6$) exhibited excitatory responses. Physiological properties of COM and unlabeled L2/3PNs were, for the most part, similar and independent of their serotonergic responsiveness. The exception was the finding of a higher input resistance in COM L2/3PNs, relative to their unlabeled neighbors (Table 2). Together, these data demonstrate that selective excitation of COM



neurons is not restricted to layer 5, but appears instead to be a common feature of prefrontal COM neurons.

DISCUSSION

We found that 5-HT selectively increases the excitability of COM neurons by activation of 2A receptors, while inhibiting output from the vast majority of cortical pyramidal neurons, including all CPn neurons, via 1A receptor activation. Because 2A receptors in the prefrontal cortex are implicated in the pathophysiology of schizophrenia (Willins and Meltzer, 1997; Gonzalez-Maeso and Sealfon, 2009; Benekareddy et al., 2010), our data suggest excessive activation of COM neurons, which provide the bulk of long-range intra- and inter-hemispheric cortico-cortical projections (Otsuka and Kawaguchi, 2011), may play a central role in psychosis. We, therefore, propose that COM neurons represent a novel cellular, rather than molecular, target for the treatment of psychiatric diseases involving dysregulation of 2A receptor signaling.

SELECTIVE EXCITATION OF COM NEURONS

We found three distinct responses to 5-HT in L5PNs from unlabeled tissue: 1A-mediated inhibition, 2A-mediated excitation, and biphasic responses resulting from coactivation of 1A and 2A receptors. 5-HT-excited L5PNs had physiological properties

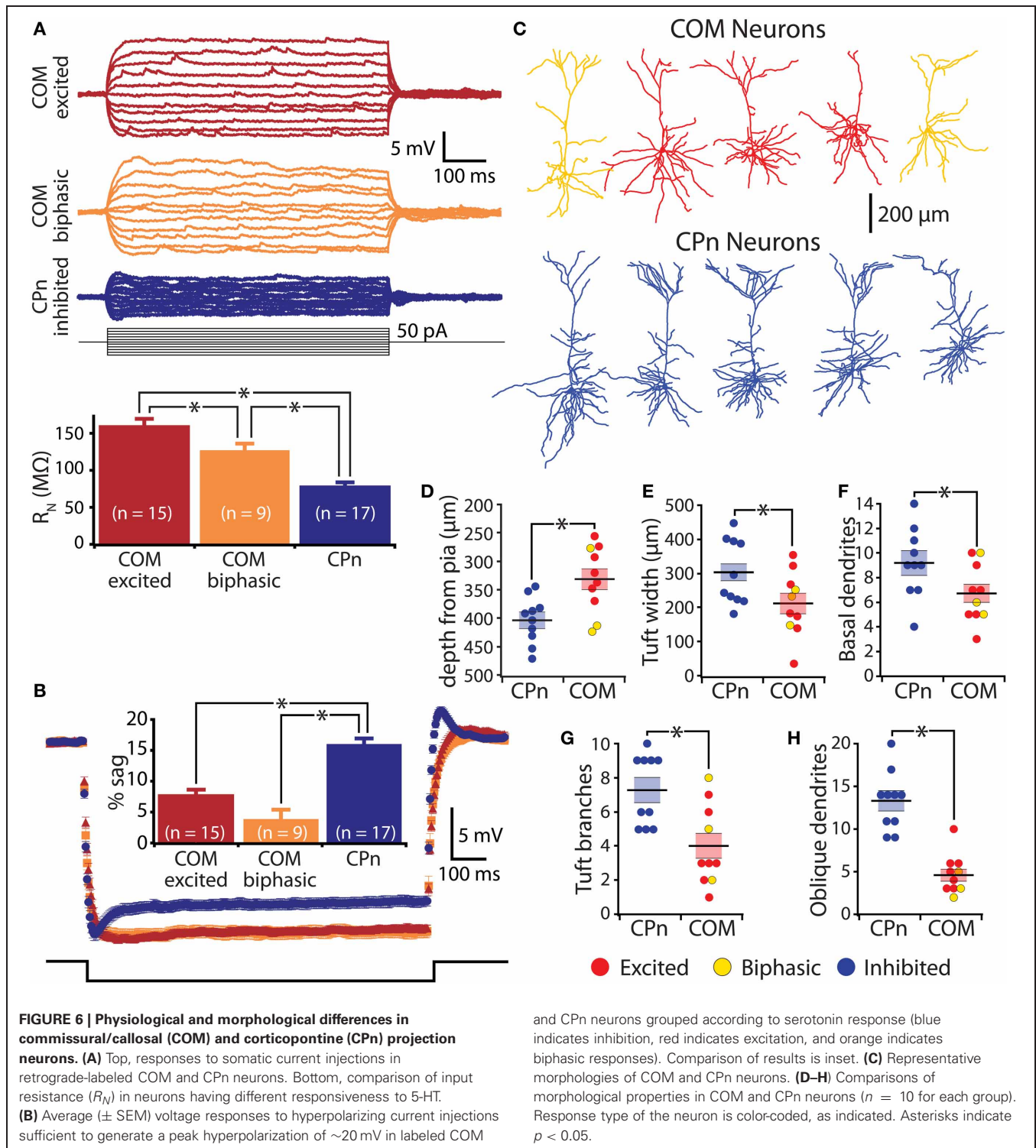
characteristic of COM neurons (Dembrow et al., 2010), and experiments targeting labeled COM neurons confirmed that this population is selectively excited by 5-HT via 2A receptors. On the other hand, 5-HT inhibited all labeled CPn neurons via 1A receptor activation. These data are significant in demonstrating, for the first time, selective serotonergic activation of a specific cortical output channel. 2A receptor-dependent serotonergic excitation of COM neurons may also explain the parallel rostral-to-caudal gradients found for cortical 2A receptor expression (Pazos et al., 1985; Weber and Andrade, 2010) and COM neuron density (Chao et al., 2009).

Two observations suggest that 5-HT may excite additional, non-COM L5PN populations. First, differences in membrane physiology were more exaggerated when comparing 5-HT-inhibited CPn neurons with excited and biphasic COM neurons than when comparing unlabeled L5PNs grouped according to their serotonergic response. For instance, CPn neurons were significantly more hyperpolarized than were COM neurons, but V_M was not well correlated with serotonin responsiveness in unlabeled L5PNs (Table 1, compare also Figure 2B with Figure 6B). Second, biphasic and excited COM neurons exhibited physiological properties distinct from the populations of excited and biphasic neurons found in unlabeled tissue. For instance, COM neurons had higher R_N values than did unlabeled L5PNs excited by 5-HT (Table 1).

It is possible that some of the physiological differences observed in labeled and unlabeled L5PNs reflect unintentional selection bias when visually choosing unlabeled neurons for whole-cell recording. For instance, the tendency to target larger, more prominent somata could contribute to the lower input resistances found in unlabeled 5-HT-excited neurons. Similarly, targeting of larger neurons in unlabeled tissue might bias selection toward L5PNs projecting toward the brainstem (Morishima and Kawaguchi, 2006; Dembrow et al., 2010), which may explain why only 15% of unlabeled L5PNs showed 2A-dependent excitation even while COM neurons, which were overwhelmingly excited by 5-HT, make up closer to 25% of all L5PNs (Hattox and Nelson, 2007). Alternatively, differences in the physiology of COM neurons and unlabeled 5-HT-excited L5PNs are consistent with the hypothesis that additional L5PN subpopulations are excited via 2A receptor activation. Additional studies will be needed to test serotonergic responsiveness of other L5PN projection subtypes, such as those projecting to the thalamus, the ventral tegmentum, and dorsal raphe. It will also be necessary to test whether selective serotonergic activation of COM neurons is conserved across species. For instance, Beique et al. (2007) found that “approximately one-third” of deep layer pyramidal neurons in the rat mPFC are excited by 2A receptor activation. Our results suggest that the 5-HT-excited subpopulation of pyramidal neurons in the rat cortex may include COM neurons. Such a finding would suggest that 2A-dependent excitation of COM neurons may be a conserved feature of the mammalian prefrontal cortex.

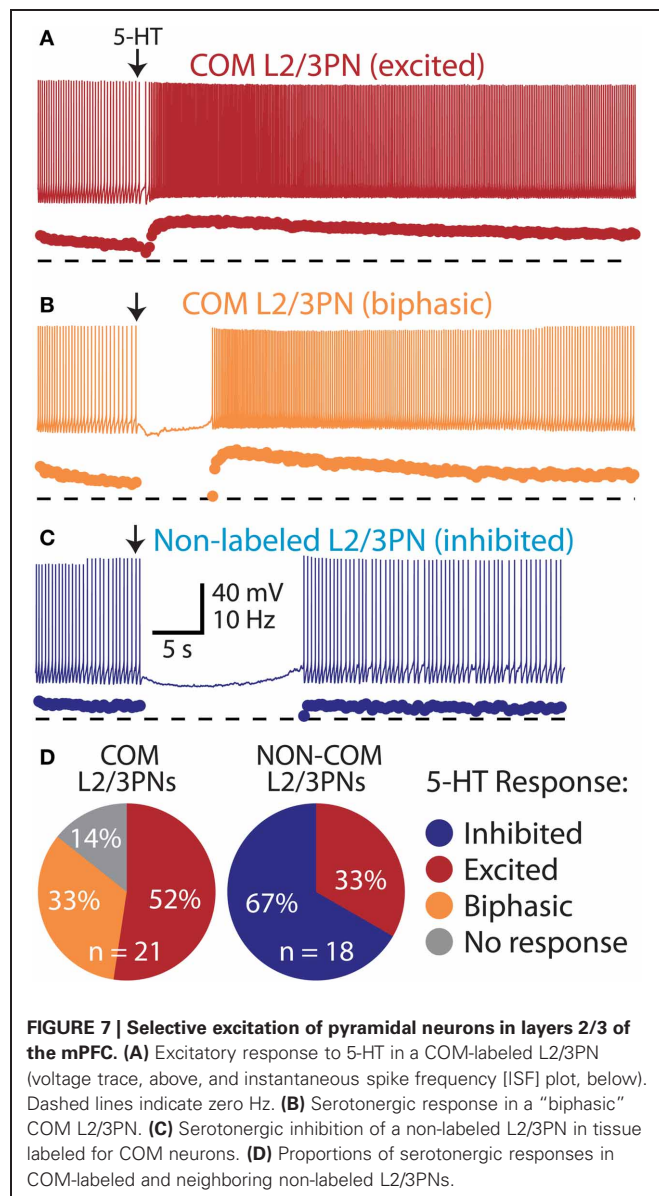
ROLE OF SEROTONIN IN CORTICAL FUNCTION AND PATHOLOGY

The quest to identify a role of 5-HT in regulating cortical activity has been marked by the apparent paradox that pyramidal



neurons express both inhibitory (1A) and excitatory (2A) receptors (Amargos-Bosch et al., 2004; Santana et al., 2004; Puig et al., 2010), yet the majority of adult pyramidal neurons are functionally inhibited by 5-HT (Araneda and Andrade, 1991; Beique et al., 2004; Zhang and Arsenault, 2005; Beique et al., 2007; Goodfellow et al., 2009; Zhong and Yan, 2011). 2A receptors

expressed by non-COM neurons may have important roles independent of direct modulation of intrinsic membrane excitability. For instance, 2A receptors may regulate synaptic transmission and plasticity by influencing AMPA and NMDA receptor function (Yuen et al., 2008; Zhong et al., 2008a,b). Our data demonstrate 2A receptors selectively enhance action potential generation in



COM neurons independent of synaptic input, but do not preclude the possibility that 2A receptors may also have effects on synaptic transmission in these neurons. Our finding opposing actions of 5-HT in COM and CPn neurons add to a growing body of evidence pointing to highly selective serotonergic modulation of cellular components within cortical microcircuits (Zhou and Hablitz, 1999; Foehring et al., 2002; Xiang and Prince, 2003; Amargos-Bosch et al., 2004; Puig et al., 2004; Kruglikov and Rudy, 2008; Lee et al., 2010).

How does selective activation of COM neurons facilitate information processing in the prefrontal cortex? COM neurons are synaptically coupled to each other, and provide unidirectional excitatory input to CPn neurons (Morishima and Kawaguchi, 2006), suggesting that serotonergic activation of COM neurons may increase glutamate release onto both COM and CPn neurons, while direct 1A-dependent inhibition of CPn output would have

Table 2 | Physiology and serotonergic responsiveness of L2/3PNs.

Neuron subtype	<i>n</i>	V_M (mV)	R_N (M Ω)	% sag
NON-LABELED L2/3PNs (IN COM-LABELED TISSUE)				
Inhibited	12	-79 ± 2	144 ± 14	5 ± 1
Excited	6	-79 ± 1	147 ± 16	5 ± 1
Total L2/3 Non-COM	18	-80 ± 2	142 ± 10	5 ± 1
COM L2/3PNs				
Excited	11	-79 ± 1	171 ± 10	5 ± 1
Biphasic	7	-79 ± 2	180 ± 14	5 ± 1
No response	3	-78 ± 2	218 ± 43	7 ± 3
Total L2/3 COM	21	-79 ± 1	$181 \pm 9^*$	5 ± 1

Physiological properties measured include membrane potential (V_M), input resistance (R_N), and “sag” rectification during responses to hyperpolarizing current injections. Data reported as mean \pm SEM. Summary data for all unlabeled or COM-labeled neurons (independent of 5-HT responses) are highlighted. COM-labeled and unlabeled L2/3PNs were similar in most regards, with the exception of R_N , which was significantly higher in COM-labeled neurons (**bold** with asterisk; Student’s *t*-test, $p < 0.05$).

little impact on ongoing COM neuron activity. If 5-HT-excited neurons are reciprocally connected (Morishima et al., 2011), 5-HT could promote reverberatory excitation in small networks of interconnected COM neurons (see also Williams et al., 2002), whose collateral connections to other COM and CPn neurons might underly 2A-dependent increases in spontaneous excitatory synaptic transmission (Aghajanian and Marek, 1997; Zhou and Hablitz, 1999; Lambe et al., 2000; Beique et al., 2007). Concurrent serotonergic inhibition of CPn and other L5PN subtypes would further enhance the overall signal-to-noise of cortical output to the brainstem.

Serotonin also regulates the activity of cortical GABAergic interneurons (Zhou and Hablitz, 1999; Murakoshi et al., 2001; Foehring et al., 2002; Xiang and Prince, 2003; Weber and Andrade, 2010) and suppresses transmitter release from axon terminals (Tanaka and North, 1993; Zhou and Hablitz, 1999; Torres-Escalante et al., 2004; Kruglikov and Rudy, 2008; Troca-Marin and Geijo-Barrientos, 2010), both of which might selectively gate information flow in cortical microcircuits. For instance, ionotropic 5-HT_{3A} receptors are expressed by most, if not all, layer 1 interneurons (Foehring et al., 2002; Weber and Andrade, 2010), where 5-HT_{3A}-mediated excitation would be expected to enhance feed-forward inhibition of pyramidal neuron apical dendrites. Similarly, much as selective serotonergic regulation of pyramidal neuron excitability accounts for the heterogeneity of 5-HT responses reported in previous studies, selective control of transmitter release at synapses according to their pre- and postsynaptic identity may explain the diversity of effects of 5-HT on synaptic transmission (e.g., as in Troca-Marin and Geijo-Barrientos, 2010). Dedicated studies will be necessary to characterize selective serotonergic control of these other components of cortical microcircuits.

Finally, the precision with which 5-HT selectively regulates cortical output channels may explain why disruption of

serotonergic signaling contributes to a wide variety of mental health disorders. In particular, excessive 2A receptor activation is implicated in the etiology of many psychiatric conditions, including depression, anxiety, and schizophrenia, while 2A antagonists are efficacious in treating these disorders (Naughton et al., 2000). The selective 2A-dependent excitation of COM neurons described here suggests these neurons may represent a novel cellular target for intervention in psychiatric disease.

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